

OPHTHALMIC SURGERY METHOD USING NON-CONTACT SCANNING LASER

This application is a continuation-in-part application of
Ser. No. 07/985,617, filed Dec. 3, 1992 now abandoned. 5

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates to laser ophthalmic surgery 10
using a compact, low-cost, low-power laser system with a
computer-controlled, non-contact process and corneal
topography to perform corneal reshaping using either sur-
face ablation or thermal coagulation.

2. Prior Art

Various lasers have been used for ophthalmic applications 15
including the treatments of glaucoma, cataract and refractive
surgery. For non-refractive treatments (glaucoma and cata-
ract), suitable laser wavelengths are in the ranges of visible
to near infrared. They include: Nd:YAG (1064 nm), 20
doubled-YAG (532 nm), argon (488, 514 nm), krypton (568,
647 nm), semiconductor lasers (630-690 nm and 780-860
nm) and tunable dye lasers (577-630 nm). For refractive
surgeries (or corneal reshaping), ultraviolet (UV) lasers
(excimer at 193 nm and fifth-harmonic of Nd:YAG at 213 25
nm) have been used for large area surface corneal ablation
in a process called photorefractive keratectomy (PRK).
Corneal reshaping may also be performed by laser thermal
coagulation currently conducted with Ho:YAG lasers using
a fiber-coupled, contact-type process. However, the existing 30
ophthalmic lasers as above described have one or more of
the following limitations and disadvantages: high cost due to
the high-power requirement in UV lasers for photorefractive
keratectomy; large size and weight; high maintenance cost
and gas cost (for excimer laser), and high fiber-cost for 35
contact-type laser coagulation.

In light of the above, it is an object of the present
invention to provide ophthalmic laser systems which offer
the advantages of: low-cost, reduced size and weight, reli- 40
ability, easy-operation and reduced maintenance. Another
object of this invention is to provide a computer-controlled
scanning device which enables use of a low-cost, low-
energy laser for photorefractive keratectomy currently per-
formed only by high-power UV lasers.

It is yet another object of the present invention to provide 45
a refractive laser system which is compact, portable and
insensitive to environmental conditions (such as vibration
and temperature). This portable system may also be used for
a mobile clinical center where the laser is transported by a 50
van. It is yet another objective of the present invention to
provide a non-contact process for corneal reshaping using
laser thermal coagulation, where predetermined corneal
correction patterns are conducted for both spherical and
astigmatic changes of the corneal optical power. 55

The prior U.S. Pat. No. 4,784,135 to Blum, et al. and 60
assigned to IBM teaches the first use of far ultraviolet
irradiation of a biological layer to cause ablative photode-
composition. This patent teaches that using a laser beam
housing a wavelength of 193 nm and an energy level of
much greater than 10 mJ/cm²/pulse can be used to photoa- 65
blate corneal tissue without the build up of excess heat. The
present invention on the other hand uses a process that
allows the use of energy levels of less than 10 mJ/pulse in
a process that still allows photoablation.

There are several prior art U.S. Patents relating to refrac-
tive surgery, or photorefractive keratectomy. A UV solid-

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It is not clear that L'Esperance has found a suitable scanning method or an effective method of selecting a perfect beam (with uniform density and well-defined shape) which would overcome the above-described difficulties and make the proposed teaching become practical in cost and design for any clinical uses. In fact, L'Esperance's scanning method has also been challenged by another prior art of Muller, U.S. Pat. No. 4,856,513, where the difficulties and problems of L'Esperance's teachings are discussed (see Col. 2, lines 1-40 of Muller's patent).

It is therefore a further object of the present invention to provide a method and apparatus for corneal reshaping by using software-driven new scanning patterns which do not require substantially uniform density or a specific spot shape. Contrary to L'Esperance's teachings, which suggest that there should be a perfect boundary match among each square beams and that excessive overlap should be avoided, the present invention proposes that a large portion (50%-80%) of overlap among the individual beams is necessary in order to achieve uniform ablated areas and a smooth profile without ridges. Furthermore, a low-power UV laser (0.1-2 mJ on corneal surface) at its bare-beam (having typically a 3-top profile) without any beam reshaping is sufficient to achieve a smooth ablation surface based on the method proposed in the present invention, where computer-controlled beam overlap and orientation are employed. In addition to the surface quality problems, it is also impossible for L'Esperance to achieve any meaningful clinical results using his proposed techniques based on the present low-energy laser of (2-4) mJ from the output laser window and (0.1-2) mJ on corneal surface.

Therefore, another object of the present invention is to provide a new method of beam scanning which combines beam overlap and orientation for a random beam density distribution on the ablated corneal surface such that the individual beam profiles are not critical, where the focused beam (spot size of 0.1-1.2 mm) uses very low energy (0.1-2 mJ) and at its bare-profile is delivered onto the corneal surface in an averaged fashion. Uniform, near flat-top ablated areas of (1-9 mm in diameter) can be performed by

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5. Ophthalmic Technologies II (1991), p.p. 266-275.

0.05-10 mJ per pulse, and requires less energy, ranging between 10 of the prior art. This new concept enables one to make the refractive lasers at a lower cost, smaller size and with less weight (by a factor of 5-10) than that of prior art lasers. Furthermore, these compact lasers of the present invention are portable and suitable for mobile clinical uses. To achieve beam uniformity and fast refractive surgery (30 to 60 seconds), a mathematical model of the beam overlap and ablation speed is also disclosed in the present invention.

For the laser thermo-keratoplasty (LTK) process, the prior art uses fiber-coupled contact-type procedure which involves the following drawbacks: (i) slow processing speed (typically a few minutes to perform eight-spot coagulation) which causes the non-uniform collagen shrinkage zone; (ii) circular coagulation zone which limits the procedure only for spherical type correction such as hyperopia; and (iii) the contact fiber-tip must be replaced in each procedure.

30 cedure under a non-contact mode and conduct the procedure
many times faster than that of the prior contact-procedure
and without cost for a fiber-tip replacement. Furthermore the
coagulation patterns can be computer predetermined for
specific applications in both spherical and astigmatic cor-
rections. The flexible scanning patterns will also offer uni-
35 form and predictable collagen shrinkage.

40 intrastroma photokeratectomy (IPK), phototherapeutic keratectomy (PTK), and laser-assisted keratomileusis (LAK).

SUMMARY OF THE INVENTION

The preferred embodiments of the basic ophthalmic surgery method uses a laser system for the ophthalmic surgery process, including: (1) a diode-pumped solid-state lasers of Nd:YAG or Nd:YLF which is frequency-converted by non-linear crystals of KTP (potassium titanyl phosphate), LBO (lithium triborate), KNbO₃ (potassium niobate) and BBO (beta barium borate) into the fifth-harmonic at wavelength of 213 nm or 210 nm with energy of 0.01 to 5.0 mJ; (2) a compact, low-cost, low-power (energy of 1 to 10 mJ per pulse) argon fluoride excimer laser at 193 nm; (3) a frequency-converted Alexandrite or Li:SAF or diode lasers at (193–220) nm; (4) a compact, low-cost, Q-switched Er:YAG laser at 2.94 microns; (5) a free-running Ho:YAG (at 2.1 microns) or Er:glass (at 1.54 microns) or diode laser (1.9–2.5 microns); (6) ultrashort pulse IR laser (750–1100 nm) and (7) mid-IR (2.5–3.2 microns) laser generated from optical parametric oscillation.

65 a spot size of (0.05–2) mm in diameter, where laser energy per pulse of (0.01–10) mJ is sufficient to achieve the photo-ablation threshold (PAT) energy density of 50 to 600

FIG. 2 is a block diagram for the generation of ultraviolet 65 wavelengths at 213 nm or 210 nm using nonlinear crystals in a diode-pumped system:

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FIG. 3 is a block diagram of a computer-controlled refractive laser system of Ho:YAG or Er-glass or diode laser in a non-contact scanning mode for laser thermokeratoplasty;

FIGS. 4A through 4E shows computer-controlled scanning patterns for photo-coagulation in non-contact LTK procedures for both spherical and astigmatic corneal reshaping;

FIGS. 5A and 5B are procedures for laser-assisted myopic keratomileusis and hyperopic keratomileusis, where the reshaping can be performed either on the inner or outer part of the tissue;

FIGS. 6A through 6D show computer-controlled beam overlap and scanning patterns for myopic, hyperopic and astigmatic correction using UV (193-240 nm) or IR (0.7-3.2 microns) lasers;

FIGS. 7A and B laser radial keratectomy patterns (LRK) using laser excisions for myopia (radial-cut) and astigmatism (T-cut);

FIGS. 8A through 8D show ablation patterns for refractive correction using predetermined coatings on UV or IR grade windows;

FIGS. 9A through 9B show the spatial overlap for uniform pattern;

FIGS. 10A through 10B show the beam orientation for smooth ablation; and

FIG. 11 shows the oriented expanding scanning to achieve the required ablation profiles, where the diameters are governed by a mathematical formula.

DE DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The theoretical background of the present invention with regards to the beam overlap and ablation rate in photorefractive keratectomy, intrastroma photokeratectomy, synthetic epikeratoplasty, phototherapeutic keratectomy and myopic keratomileusis procedures described in the present invention is as follows.

Given a laser energy per pulse of m (in mJ), an intensity of I (in mJ/cm²) may be achieved by focusing the beam into an area of A , where $I = E/A$. For corneal tissue ablation to occur requires the laser intensity (I) to be above the photoablation threshold (PAT), (60-120) mJ/cm² for UV-laser (193-215 nm) and (200-600) mJ/cm² for IR-laser (2.5-3.2 microns). Therefore it is always possible to tightly focus a laser beam and achieve the PAT value even for a low-energy laser (0.1-5) mJ. The drawback of using a low-energy, small-spot laser for large area ablation is that the operation time will be longer than that of a large-spot but high-power laser. However, time of operation may be shortened by using a high-repetition-rate laser (higher than 50 Hz). Small-spot, low-energy lasers for large area surface ablation would become practical only when a scanning device is used in a high-repetition-rate laser and only when uniform beam profile can be assured by the appropriate beam overlap. These two important issues are addressed in the present invention.

The overall operation rate (R) for a given diopter correction (D) is limited by the laser scanning rate (R_1) which is in turn limited by the laser repetition rate. In addition, R is also proportional to the tissue ablation rate (R_T) which is proportion to the laser intensity I (or energy density) at a given energy E .

The diopter change (D) in the case of myopia is related to the correction zone diameter (W) and the center ablation

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Based upon the above-described theory, some important features are: (i) CW lasers (either UV or IR) with low intensity, normally can not cause photo-ablation since the energy density is lower than the PAT value; (ii) Lasers (UV or IR) at Q-switched or mode-locked mode and with pulse-duration shorter than 100 nanosecond will normally achieve the intensity above the PAT even at low-energy level of 0.05–5 mJ. In particular, picosecond lasers at high repetition rate is desirable where energy in the microjoule range would be sufficient. Moreover, the Q-switched short pulse lasers have smaller thermal damage than that of free-running lasers. The cost-effective refractive lasers are those which have high repetition rate (50 Hz and up) but operated at low-energy (0.05–5 mJ) and short pulse duration (0.001–20 nanoseconds). The preferred embodiments disclosed in the present invention as discussed in FIG. 1 are based upon this theory. Beam focusing and scanning are always required to achieve the PAT and smooth ablation profile. The individual beam profile in the scanning system is not as critical as that in prior art lasers which require a uniform overall profile within the large ablation zone of (4–6) mm. In laboratory tests, we have achieved a very smooth ablation profile with zone diameter up to 8 mm starting from a non-uniform

focused beam profile which was randomly scanned over the ablation zone of (1-8) mm. Using overlap of (50-80)% of focused beam spot of (0.2-1.5) mm, and a typical number of pulses delivered to the corneal surface of 2,000-4,000, which assures a sufficient beam overlap for smooth profile and pulse to pulse energy fluctuation is not critical.

Referring to FIG. 1, a refractive laser system in accordance with the present invention comprises a basic laser 10 having UV (193-220 nm) or IR (0.7-3.2 microns) wavelength 11 coupled by a scanning device 12 having the beam from focusing optics 14 directed onto a reflecting mirror 15 into target 16 which target may be the cornea of an eye. An aiming system 17 has a visible wavelength (from a laser diode or He-Ne laser) 18 adjusted to be collinear with the ablation beam 11 and defines the centration of the beam onto the cornea surface at normal incident. The basic laser head 20 is steered by a motorized stage for X and Y horizontal directions 21 and the vertical (height) direction 22 which assures the focusing beam spot size and the centration of the beam onto the cornea. The system has a computer controlled panel 23 and wheels 24 for portable uses. The target 16 includes a human cornea for applications of photorefractive keratectomy, phototherapeutic keratectomy and laser radial keratotomy (using the UV 193, 210, 213 nm or IR 2.9

microns beam focused on the corneal surface area) and intrastroma photokeratectomy (using the 1064 or 1053 or 1047 nm beam, or their second-harmonic, focused into the intrastroma area), and synthetic or real corneal tissues for applications of synthetic epikeratoplasty and myopic keratomileusis. The computer controlling panel 23 also provides the synchronization between the scanning gavo (galvanometer scanner) and the laser repetition rate. A commercially available galvanometer scanner made by General Scanning, Inc. is used in scanning the laser beam. The laser systems described herein have been demonstrated using photorefractive keratectomy procedure with a diopter corrections up to -6 in PMMA plasty and -12 in corneal tissues. In the case of PMMA, we have also measured the diopters by a lensmeter with well-defined readings in the ranges of -1 to -12 diopters. This data provides the evidence of predictable diopter corrections using the laser systems of the present invention. Furthermore, minimal tissue thermal damage of 0.3-1.0 microns were measured by TEM (transmission electron microscopy). In measurements, a multi-zone (MZ) approach for high-diopter corrections (8-12) was used, where the center zone is 3 mm and the correction power decreases when the zone increases from 4 mm to 6 mm. This multi-zone approach reduces the overall ablation thickness and hence reduces the haze effect.

Still referring to FIG. 1, the basic laser 10, according to the present invention, includes a compact, optically-pumped (either flash-lamp or laser-diode pumped) lasers of Nd:YAG, Nd:YLF or the self-frequency-doubling crystal of NYAB (neodymium yttrium aluminum) with pulse duration of 0.05-20 nanoseconds and repetition rate of 1-10,000 Hz. It is known that this basic laser 10 is available using a standard Q-switch or mode-lock, where the UV wavelength at 209-213 nm may be achieved by the frequency conversion techniques using nonlinear crystals disclosed by the inventor in U.S. Pat. No. 5,144,630. The UV laser energy required for efficient ablation ranges from 0.01 mJ to 5 mJ. The basic laser also includes a compact, argon fluoride excimer laser (at 193 nm) with repetition rate of (1-1,000) Hz, energy per pulse of (0.5-10) mJ, pulse duration of (1-50) nanoseconds and a compact, Er:YAG laser (at 2.94 microns) with repetition rate of (1-200) Hz, energy per pulse of (50-500) mJ, pulse duration of (50-400) nanoseconds and frequency-

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Referring to FIG. 2, a preferred embodiment for the basic laser 10 of FIG. 1 having a UV wavelength includes a diode-pumped Nd:YAG (or Nd:YLF) 25 having a fundamental wavelength of 1064 nm (or 1047 and 1053 nm) 26 and is focused by a lens 27 into a doubling crystal 28 (KTP, KNbO₃, LBO or BBO) to generate a green wavelength 30 at 532 nm (or 524 and 527 nm). The green beam 30 is further converted by a fourth harmonic crystal 31 (BBO) to generate a UV wavelength 32 at 266 nm (or 262–263 nm) which is

finally converted by a fifth harmonic crystal 33 to generate the UV wavelength 11 at 213 nm (or 209–211 nm). From a commercially available diode-pumped Nd:YLF laser 1 am able to achieve the UV (at 209–211 nm) energy of 0.01–2 mJ per pulse with average-power of 0.1 to 0.5 W. This energy level when focused into a spot size of (0.1–0.5) mm is sufficient to ablate the corneal tissue. This diode-pumped fifth-harmonic system provides the most compact refractive UV solid-state laser available today with the advantages of long lifetime, low maintenance, portability and absence of toxic gas in comparison with the excimer lasers currently used by other companies. Furthermore by using the fundamental wavelength at 1064 nm (or 1053 or 1047 nm) or their second-harmonic (at 532, 524, or 527 nm), intrastroma photokeratectomy procedure may be performed by focusing the beam into the intrastroma area of the cornea. The laser presented in the present invention provide a compact, portable and low-cost IPK laser and has an advantage over the lasers used by other companies where the systems are currently more than five times heavier and are more costly.

In FIG. 3, a commercially available Ho:YAG (or Er:glass) or diode laser 35 (either flash-lamp or laser-diode pumped) is coupled by a fiber optic waveguide 36 with core diameter of (100–600) microns to a scanning device 37, in which the fundamental beam 38 with a wavelength of 2.1 (or 1.54) or (1.9–2.5) microns which is collimated by a lens 40 and coupled to the scanning gavo 41 and focused by another lens 42 onto the beam splitters 43 and 44, and finally delivered to a target (such as a patient's cornea) 45. The IR (2.1 microns) laser beam 38 is collinear with the aiming beam 46 (visible He—Ne or diode laser) and the patent corneal center is also defined by a commercial slit-lamp microscope station 47. The above-described apparatus offers the unique feature of non-contact laser thermokeratoplasty for precise coagulation in both spherical and astigmatic corneal power corrections with scanning patterns predetermined by a computer software hereinafter discussed. The focusing lens 28 may be motorized for varying the focal point and thus varying the coagulation cone size for optimal results. In the prior art of fiber-tip contact system, the precision of the coagulation zone and patterns are limited by doctors manual operation which is a much slower procedure than the computer controlled scanning device described in the present invention. The requirement of replacing the fiber-tip after each operation is also a drawback of the prior art systems. The advantages of the present system includes: precision coagulation zone and spot size, flexible patterns for a variety of corrections, fast processing time and elimination of the need for fiber-tip replacement.

Still referring to FIG. 3, the basic laser 22 in accordance with the preferred embodiment of the present invention is a free-running or continuous-wave (CW) flash-lamp or diode-laser pumped Ho:YAG (at 2.1 microns) or Er:glass (at 1.54 microns), or IR diode laser (1.9–2.5 microns) with average power of 0.5–5 W, pulse duration of 200–2,000 microseconds (if free-running). In the present invention, the IR wavelengths of 1.54 and 2.1 and (1.9–2.5) microns are chosen due to their strong tissue absorption which is required in the photo-coagulation processes. Similar lasing media of Ho:Tm:YAG and Ho:Tm:Cr:YAG is also included in the preferred embodiments of the present invention. The CW diode laser (1.9–2.5 microns) may be scanned in a faster rate than that of the free-running lasers.

FIGS. 4A through 4B summarize the possible coagulation patterns suitable for both spherical and astigmatic corneal reshaping in the LTK procedures in a cornea 50. FIG. 4-A with coagulation zone (CZ) of 5 to 9 mm and spot number

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(SN) of (8-16) provides hyperopic corrections of 1-6 diopters; FIG. 4-B has a coagulation zone of 1-3 mm suitable for myopic corrections; FIG. 4-C has radial coagulation zone and spot number of 16-32, suitable for spherical hyperopic correction; FIG. 4-D has a coagulation zone of 1-9 mm and spot number of 50-200, suitable for precise coagulation control to stabilize and reinforce the collagen shrinkage tension; FIG. 4-E is designed for astigmatic change, where the coagulation patterns are chosen according to the corneal topography. By using the computer-controlled scanning, these patterns may be easily generated and predetermined according to the measured corneal topography of each patient. A combination of these patterns illustrated in FIGS. 4-A to 4-E enables the treatment of patient's optical power correction in all aspects of myopia, hyperopia, astigmatism and their mixed vision disorder. Furthermore, laser parameters such as energy per pulse, spot size and scanning patterns also provide another degree of freedom for the laser thermokeratoplasty process which are not usually available in the prior art systems using the contact fiber-tip.

The appropriate parameters relating to FIG. 4A-B are: laser energy per pulse of 5-50 mJ for free-running mode (200-400 micro-second duration), beam spot size of (0.1-1) mm, laser repetition rate of 5-30 Hz, coagulation zone of (1-10) mm, spot number of 8-200 spots and fiber core diameter of 100-600 microns, for a flash-lamp-pumped system. Also disclosed is the use of a diode-pumped Ho:YAG, either in a pulse-mode or continuous-wave (CW) mode. For a CW mode laser, energy of 10-100 mW is sufficient for coagulation when spot size of 0.05-0.5 mm is employed. In the diode-pumped system in CW mode or with a high-repetition-rate 20-100 Hz, a fast scanning enables completion of the coagulation procedures within 2-20 seconds depending upon the coagulation zone and spot number required. Fast scanning also provides a uniform collagen shrinkage unlike that of the prior art system using a manually operated fiber-tip which normally takes 1 to 5 minutes to complete in a multiple coagulation zone and high spot number. It is difficult to use a manually operated fiber-tip to generate the precise patterns as illustrated in FIG. 4 which can be easily performed in the computer-controlled scanning device as disclosed in the present invention. The patient's eye motion and decentration is a problem for prior art systems, but it is not a critical factor in the fast scanning device described herein.

Referring to FIG. 5, a laser-assisted myopic keratomileusis (MKM) and hyperopic keratomileusis (HKM) can be performed either on the outer corneal surface or on the inner surface 52 to reshape the resealed corneal tissue without materially affecting the Bowman's layer. The preferred lasers are described in FIG. 1 including the UV (193-220 nm) and IR (2.5-3.2 microns) lasers. The non-invasive laser-assisted procedure disclosed in the present invention has the advantages over the procedures of photorefractive keratectomy and laser thermokeratoplasty including being safer, more stable, with a higher diopter change, and without materially affecting epithelium and Bowman's layer. In comparison with the conventional keratomileusis, the laser-assisted myopic keratomileusis and hyperopic keratomileusis do not require corneal freezing and can perform very high diopter change not available by radial keratotomy or photorefractive keratectomy. Laser-assisted corneal reshaping can also be employed for a donor cornea in the procedure currently performed by epikeratophakia. Details of conventional lamellar refractive surgery may be found in Leo D. Boreas, Refractive Eye Surgery (Blackwell Scientific Pub., 1993), Chapter 10.

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FIGS. 6A through 6D shows a nearly flat-top beam profile achieved by overlapping a series of laser beams, where the degree of overlap, 50%-80%, depends on the individual beam profiles which are not required to be flat-top. In the present invention, the preferred individual beam profile is either a 70% Gaussian or a symmetric profile. In the laboratory, I have demonstrated a smooth laser-ablated PMMA surface with zone diameter of 3-6 mm by overlapping a large number of pulses, 500 to 5,000, each one having a spot size of 0.8-1.2 mm. Moreover smooth transition among the ablation zones were achieved without the transition zone steps found in prior art systems using mechanical diaphragms. In addition to the myopic and hyperopic scanning patterns of 6B and 6C, one of the significant features of the present scanning device is that it can generate predetermined patterns based upon the corneal topography for astigmatism correction (see 6D). Corneal scar may also be easily located by a topography and photoablated by a laser based on the computer-controlled scanning patterns. The preferred lasers for the procedures described in FIG. 6 are discussed in connection with FIG. 1.

Still referring to FIG. 6, the scanning schemes were tested by ablation on PMMA plastic. The computer software is based upon the mathematical model described earlier in equations 1 and 2 where the center ablation thickness was equally spaced to define the associated scanning diameters. Given the ablation thickness per pulse and per ablation layer (at a given scanning diameter), one may easily obtain the overall corneal surface ablation profile, (see equation (1)). The number of required ablation layers is therefore proportional to the diopter change (D) and square of the ablation zone (W). The computer parameters designed in the present invention include: diopter change (D), optical zone diameter (W), and the degrees of overlap in both tangential (TD) and radial (RD) direction of the scan patterns as shown in FIGS. 6A through 6D. Smooth PMMA surface ablation was achieved by optimization of laser spot size, energy and the overlap parameters of TD and RD. Experimental data indicates that larger overlap provides smoother surface ablation; however, longer ablation time is required for a given diopter change, laser energy and repetition rate (RR). Larger RR, 50-100 Hz, provides shorter ablation time which is typically in the range of (20-40) seconds for diopter changes of 2-8 in myopic treatment based upon my measurements. The prior art high-power excimer lasers with a typical RR of 5-15 Hz will be impossible to achieve the results described above even if they use the present scanning device.

Still referring to FIGS. 6, using the UV lasers (193, 210 and 213 nm) I have achieved ablation depths of (20-40) microns by overlapping (2000-4000) laser pulses, which give an ablation depth of 0.05-0.1 microns per pulse. The ablation depths are measured by 1a microsensor (made by Tencor Instruments) which has a resolution of about 0.5 microns or better. Ablation curves, ablation depth versus laser intensity, were obtained by varying the laser energy or the spot size. Given the ablation rate (ablation thickness per pulse), I am able to calibrate the number of pulses and the degree of beam overlap required to achieve the diopter change on the PMMA, where the diopters of the ablated PMMA are measured by the standard lensmeter. In vitro measurement of corneal tissue ablation can be calibrated according to the comparison of the ablation rate between PMMA and tissue. For myopic and hyperopic corrections, I have used circular scanning patterns with beam overlap controlled by the tangential scanning speed and diameters of the adjoined circles. The preferred scanning scheme is from small circle to large circle. For example, given a laser spot

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Greater detail of the features of the present invention regarding beam overlap, scanning and orientation in order to 65 achieve uniform ablation profiles to meet the clinical requirements of corneal reshaping are demonstrated as fol-

lows. The actually measured PMMA profiles were generated from the Microsensor (made by TENCOR INSTRUMENTS, INC.) using our ArF laser (the Compak-200 Mini-Excimer system, made by LaserSight, Inc.) having laser parameters of: (2-4 mJ) energy at the output window, operated at (50-200) Hz, with the beam focused onto the corneal surface at a spot size of about (0.2-1.2) mm, with energy per pulse of (0.5-1.5) mJ, tunable by a coated MgF window.

- 10 Referring to FIG. 9A, we show the schematic of the motion of the scanning beam with a spot size of 1 mm in this example. Beam overlap function(L) is defined by the beam displacement parameters of dx and dy (in x and y direction, respectively, on the corneal plane) adjustable by the computer controlled software, where $L_x = 1 - dx/R$ and $L_y = 1 - dy/R$, where R is the beam diameter. The degrees of smoothness (DS) of the ablated PMMA surface (a plastic sheet which has been commonly used for the calibration of UV laser ablation on corneal tissue) is governed by the degrees of overlap function $L = L_x + L_y$. Greater DS can be performed by using greater L, which, however, will also cause a slower procedure speed (v), at a given laser average-power(p), beam spot size(R) and energy per pulse (E). Desired procedure time of 20 to 50 seconds are typical for patient diopter corrections (myopic) of D=-3 to -10, where patient centration is conducted by a visible fixation light for the patient to look at without eye movement. Including some of the compensation from the recovered epithelium filling on the ablated corneal surface, the roughness of the corneal tissue, calibrated by the PMMA surface, should be within the range of (0.2-2) microns. Therefore, we are optimizing the parameters of dx, dy, L, p, E and R in order to achieve the above-described clinical requirements.

- Referring to FIG. 9B, a comparison is shown to demonstrate the degrees of smoothness of the ablated PMMA at two sets of displacements: curve A (dx=dy=0.5 mm) and curve B (dx=0.5 mm, dy=0.3 mm). These PMMA profiles were generated from a Microsensor scanned along the y direction to show the difference in smoothness caused by the difference in dy values (at a fixed dx value). It is clearly demonstrated by comparing Curves A and B that a smoother surface is generated with a smaller displacement (dy=0.3 mm), or larger beam overlap $L_x = 70\%$. In this particular example, the basic beam profile is worse than a 50% Gaussian and actually has a three-lob structure which is typical in an ArF excimer laser. Even under this poor beam uniformity condition, we are still able to obtain very uniform overall ablated areas of (2-9) mm in diameter, as shown in FIG. 9B (curve B) with surface roughness less than 1 microns (vs. about 10 microns in curve A), when a set of appropriate beam overlap parameters are used. Smaller dx and dy will further improve smoothness, which, however, may take a longer operation time. As shown in above example (using dx=0.5 mm and dy=0.3 mm), only 30 seconds is needed for a D=-4 diopter correction with enough smoothness of the PMMA surface, where I used a pulse energy of 0.9 mJ (on the PMMA surface), with the system operated at 100 Hz in this example.

- In addition to the overlap function, I have been able to further improve the beam uniformity by the beam orientation method as follows. As shown in FIG. 10A, I used linear scan patterns for multi-layer ablation on a PMMA sheet, where parameters of E=0.9 mJ, spot size of 1 mm, dx=dy=0.5 mm were used. In one case, I repeated the linear scan pattern along the x-direction, or rotation angle (A)=zero, for about 25 times (layers). To see the improvement due to pattern orientation, I tried the second case by rotating the

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linear-scan angle (A) by about 65 degrees in each successive scan layers. An angle A=65 degrees was chosen in this particular example to randomize the basic beam structure (having a non-uniform profile) and to achieve the uniform overall ablation. This averaging procedure by beam orientation will largely reduce the potential roughness caused by the basic beam structure, noting that rotation angles, such as 20, 30, 60 or 120 degrees (in which 360 degrees can be divided into integers), should be avoided to prevent repeated patterns after a few rotation layers. A larger angle(A) is chosen for smaller diopter corrections and vice versa for the best results. This is to make sure that enough beam randomization is performed for various diopter corrections which are proportional to the numbers of scanned layers. Comparisons are shown in FIG. 10B for A=0 (nonrotated case, curve A) and for A=65 (rotated case, curve B), where $dx=dy=0.5$ mm were used in both cases. Significant smoothness of ablated PMMA was achieved in the rotated case (curve B) even when a large displacement of $dy=0.5$ mm was used, compared to curve B in FIG. 10B and curve A in FIG. 9B. The larger displacement, or smaller overlap results in a faster procedure, however, this results in a loss of smoothness if beam rotation is not used. Using the above-described techniques, I am able to generate the predetermined ablation profiles corresponding to various refractive corrections such as myopic, hyperopic and astigmatic with clinically acceptable tissue smoothness and procedures times requirement.

Referring to FIG. 11, an example for myopic correction is shown. FIG. 11A shows the schematic of rotated ablated areas with increasing diameters (from about 0.5 to 6 mm) governed by Equation (1), where a typical number of layers (or scanned areas at various diameters) of 25 is needed for a -5 diopter correction. For an optical zone of 5 mm, this represents an ablation rate of about 2 microns in corneal tissue in each layer, where a pulse energy of about 0.9 mJ at spot size of 1 mm and repetition rate of 100 Hz is used. For smaller diopter corrections, a smaller energy (0.6-0.8 mJ), or smaller ablation rate (0.5-1.0 microns) is desired for smoother and more accurate results. Moreover, a smaller spot size of (0.1-0.5 mm) may be used for better control of the ablation profile (with greater accuracy), but a faster laser repetition rate larger than 500 Hz would be required for a reasonable procedure speed of (20-50) seconds to cover (-3 to -10) diopter corrections. In this situation the diode pumped UV solid state laser described earlier will be a better candidate than the Excimer laser. FIG. 11B shows the PMMA ablation profile measured from a Microsensor using the techniques shown in FIG. 11A, where an ablation zone size of about 5 mm with center depth of about 16 microns were shown. I believe that the PMMA profiles shown in FIGS. 9 through 11 represent, for the first time, the novel features of the techniques disclosed in the present invention. Some of the prior art has never demonstrated the actual ablation data, although a simple concept of beam scanning has been proposed. The comparisons in FIGS. 9 and 10 have demonstrated that the prior techniques as set forth in the background hereto would never achieve the smooth surface as shown here. In addition, given the laser parameters proposed in the present invention of low-energy (2-4 mJ) with nonuniform basic beam profile and without using mechanical beam-re-shaping, it is impossible for the prior art to achieve clinically meaningful results. A high-power laser of 100-300 mJ with a complex means of beam uniformity is always required in the prior art patents.

The method disclosed in the present invention combines beam scanning, overlapping and pattern rotation (random-

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10. A method of performing corneal refractive surgery by 60
reshaping a portion of the corneal surface in accordance with
claim 1 in which the step of selecting a laser includes
selecting an OPO mid-IR laser having an output of 2.5-3.2
microns, a pulse duration of between 1-40 nanoseconds and
energy per pulse of between 0.1 to 10 mJ, and a repetition 65
rate of between 10 and 5,000 Hz and a focused generally
fixed spot size on the corneal surface of between 0.1-2 mm.

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claim 18 in which the step of scanning includes scanning through a coated window made of materials highly transparent to an IR laser having an output beam of (2.5-3.2) microns.

22. A method of performing corneal refractive surgery by⁵ reshaping a portion of the corneal surface in accordance with claim 1 in which the step of controlling said scanning mechanism includes controlling said scanning which has a

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23. A method of performing corneal refractive surgery by reshaping a portion of the corneal surface in accordance with claim 1 including the step of scanning in a uniform scanned pattern with a spatial overlap of 50-80% and beam orientation whereby the initial beam profile uniformity is not critical.

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[illegible]